



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant, Patentee or Identifier: **ROBERT D'AMATO** )  
Application or Patent No.: **09/545,139** )  
Filed or Issued: **APRIL 7, 2000** )  
Title: **METHODS AND COMPOSITIONS FOR** )  
**INHIBITION OF ANGIOGENESIS WITH EM-138** )

Attorney's Docket No. **05213-0650**

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR §§1.9(f) AND 1.27(d) — NONPROFIT ORGANIZATION

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Nonprofit Organization: Children's Medical Center Corporation  
Address of Nonprofit Organization: 300 Longwood Avenue,  
Boston, Massachusetts 02115

Type of Organization: University or Other Institution of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR §1.9(e) for purposes of paying reduced fees under §§41(a) and (b) of Title 35 United States Code with regard to the invention entitled **METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS WITH EM-138** by inventors **Robert D'Amato** described in the specification filed with the United States Patent and Trademark Office on **April 7, 2000**, under Serial No. **09/545,139**.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

\*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

NAME: EntreMed, Inc.  
ADDRESS: 9640 Medical Center Drive  
Rockville, Maryland 20850

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: William New  
TITLE IN ORGANIZATION: Vice President, Research Administration  
  
ADDRESS OF PERSON SIGNING: 300 Longwood Avenue  
Boston, Massachusetts 02115

SIGNATURE: William New

Date:

9/15/00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application or Patent of: ROBERT D'AMATO )  
Application or Patent No.: 09/545,139 )  
Filed or Issued: APRIL 7, 2000 )  
For: METHODS AND COMPOSITIONS FOR )  
INHIBITION OF ANGIOGENESIS WITH EM-138 )

Attorney's Docket No. 05213-0650

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- the owner of the small business concern identified below:  
 an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: EntreMed, Inc.  
ADDRESS OF CONCERN: 9640 Medical Center Drive  
Rockville, Maryland 20850

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal years, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention entitled "**METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS WITH EM-138**" by inventor Robert D'Amato described in

- the specification filed herewith  
 Application No. 09/545,139, filed April 7, 2000  
 Patent No. \_\_\_\_\_, issued \_\_\_\_\_

If the rights held by the small business concern are not exclusive, each individual concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR §1.9(d) or a nonprofit organization under 37 CFR §1.9(e).

\*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27)

NAME OF NONPROFIT ORGANIZATION: Children's Medical Center Corporation  
ADDRESS OF ORGANIZATION: 300 Longwood Avenue  
Boston, Massachusetts 02115

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

Serial No. 09/545,139

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

**NAME OF PERSON SIGNING:** John W. Holaday, Ph.D.

**TITLE OF PERSON OTHER THAN OWNER:** President and Chief Executive Officer

**ADDRESS OF PERSON SIGNING:** 9640 Medical Center Drive  
Rockville, Maryland 20850

**DATE** 9/22/00 **SIGNATURE** 

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